

Geochemistry of Lipids¹

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Abstract

Lipids, particularly the glycerides, terpenes, sterols, and hydrocarbons, have properties conducive to their preservation either in original or transformed state and are significant constituents of the geochemical biomass. The occurrence of phytane, pristane, and fatty acids in Precambrian sedimentary rocks 2.7 billion years old has been interpreted to indicate the existence of life processes similar to those that are operative today.

The stability of lipids is highly variable. Sterols, terpenes, fatty acids, esters, and hydrocarbons have been isolated from ancient sedimentary rocks; there is evidence, however, that esters may hydrolyze. Under certain conditions, highly unsaturated fatty acids may undergo combined biochemical and chemical transformations that lead to the formation of petroleum hydrocarbons.

Lipids found in geological environments are derived from contributing organisms, which represent specific ecologies. Study of the ultimate products derived from these lipids permits an understanding of the geochemical environments in which they were produced, and of the transformations that occurred.

Introduction

EVALUATION OF POTENTIAL contributions of biological products to the mass of organic material that accumulates under geological conditions has led to the conclusion that lipids are of particular importance. Carbohydrates and proteins both undergo hydrolysis to yield water-soluble products of low molecular weight that are ultimately destroyed in biochemical or chemical processes (1). In contrast, many lipids, while subject to some changes following elimination from biological systems, are either preserved intact or converted into transformation products that are stable and tend to be preserved. Only lignin, among the major biological products, behaves more or less as do the lipids with respect to preservation (1).

Lipids have been defined as biochemical compounds soluble in organic solvents such as benzene, chloroform, carbon tetrachloride, ether, hydrocarbons, carbon disulfide, and similar compounds, but insoluble in water. The term "lipids," therefore, embraces, among others, compounds such as glycerides, terpenes, sterols, and hydrocarbons. Hydrocarbons, when defined as lipids, may actually represent original biological products or products derived from the transformation of other lipids such as fats. In some instances, these compounds occur in trace quantities and may serve as indicators of particular biological processes that have been operative in various geological eras, in other cases certain lipids may have been the precursors of geological accumulations of major economic proportions, such as crude oil.

Source of Lipids

Sterols

Although they do not occur in large quantities, sterols are universal products of biological processes

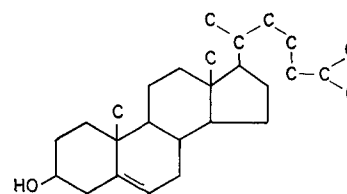


FIG. 1. Cholesterol.

and are known to be present in many substances of geological interest. Cholesterol (Fig. 1) is the principal sterol of vertebrates, but invertebrates are characterized by a wide diversity of sterols that occur in significant quantities. The structures of these compounds have not always been fully elucidated.

Numerous sterols have been isolated from freshly killed, air-dried, or formalin-preserved specimens of marine animals (2), among which are the polyps, corals, gorgonias, jellyfish (3), and sea anemones (4). Cholesterol (Fig. 1) ($C_{27}H_{46}O$) and dehydrocholesterol (Fig. 2) ($C_{27}H_{44}O$), as well as other sterols, have been isolated from various species and are listed in Table I. Sterols, including cholesterol, have also been isolated from starfish, sea urchins, sea cucumbers, horseshoe crabs, and tunicates.

Various sponges have been found to contain sterols in very significant quantities. Thus, the air-dried loggerhead sponge contains 1.5% of total sterols (7) comprising elionasterol ($C_{29}H_{50}O$) and poriferasterol ($C_{28}H_{48}O$), as well as others (8-11).

The various sterols noted occur in invertebrates in the range of from 15 ppm to as much as 1.5%. The list of Table I does not pretend to be all-inclusive, but rather to illustrate the variety and distribution of such compounds in marine and fresh-water invertebrates.

Sterols are also known to occur in soils. Schreiner and Shorey (12) identified a phytosterol in soil, and more recently β -sitosterol and stigmastanol were isolated from peat (13). It has been estimated by Turfitt (14) that soils contain up to 12.7 ppm of sterols. He found, however, that cholesterol is approximately 60% destroyed in one year when mixed with aerated garden soil. Preservation is best under wet, acidic conditions and in the absence of oxygen.

Cosmovici and Anastasiu (15,16) identified sterols in Romanian black shales containing fossil remains of algae, fish, and crustaceans. Sterols have also been tentatively identified in petroleum, guano, asphalt,

TABLE I
Sterols Isolated from Invertebrates

Sterol	Formula	Source
Cholesterol (Fig. 1)	$C_{27}H_{46}O$	Jellyfish Staghorn coral Gorgonia Sponges
Actiniasterol (dehydrocholesterol ?) (Fig. 2)	$C_{27}H_{44}O$	Sea anemone
Elionasterol	$C_{29}H_{50}O$	Gorgonia Sponges
Gorgosterol	$C_{30}H_{52}O$ or $C_{31}H_{54}O$	Gorgonia
Cholestanol (5) (Fig. 3)	$C_{27}H_{48}O$	Sponge
Poriferasterol	$C_{28}H_{48}O$	Sponge
Ergosterol (6) (Fig. 4)		Algae
Chondrillasterol (Fig. 5)		Sponge Algae

¹ Publication authorized by the Director, U.S. Geological Survey.

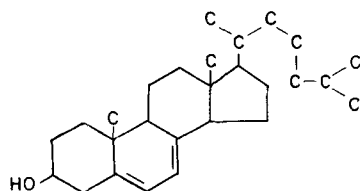


FIG. 2. 7-Dehydrocholesterol.

medicinal muds, lignite, and fossil calcium carbonate shells.

Esters

Concentration of acetone extracts from *Meandra areolata* and other species of corals led Lester and Bergmann to the identification of cetyl palmitate (Fig. 6), which is present in quantities of 0.25–0.50% based on the weight of the dry coral, which contain 2–7% of organic material (17–19). Later, Kind and Bergmann found the same ester in gorgonias (20). It has also been pointed out by Bergmann (21) that this ester is the principal constituent of spermaceti from the head of a sperm whale.

It is hardly necessary to discuss here the nearly universal occurrence of triglycerides. Those triglycerides in which the acids are saturated may be hydrolyzed into water-soluble glycerol, which disappears, and fatty acids that are utilized in other biological processes or which are eventually dissolved in sea water and incorporated into sediments. The same may be said for triglycerides derived from marine fish among which are the menhaden, herring, and sardine. The acids derived from these oils have normal chain lengths of 14 to 24 carbon atoms, but are unique in that these chains tend to be highly unsaturated, having from 4 to 6 double bonds (22). Such unsaturation makes these acids highly reactive and subject to interaction among themselves or with other biological products.

Alcohols

Several alcohols have been isolated from marine invertebrates. Thus, octadecyl alcohol (Fig. 7) and batyl alcohol (Fig. 8) were both found in a gorgonia (*Plexaura flexuosa*) (20), and batyl alcohol was subsequently identified in a starfish, *Asterias rubens* (23). Other unusual compounds, such as selachyl alcohol (Fig. 9) (21) and 11-docosenol (Fig. 10) (24) have also been found in marine organisms. Relatively simple alcohols occur in peat wax; montan wax, isolated from lignite, is known to consist of nearly 25% of alcohols of high molecular weight (C_{24} to C_{30}) (25).

Acids

Numerous fatty and naphthenic acids have been isolated from petroleum and identified. These range from formic ($HCOOH$) to arachidic acid (C_{20}) for the fatty acids; the naphthenic acids (Fig. 11) include both cyclopentane and cyclohexane derivatives.

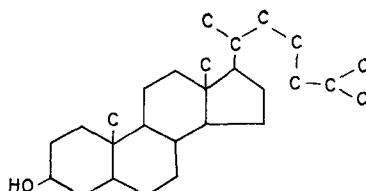


FIG. 3. Cholestanol.

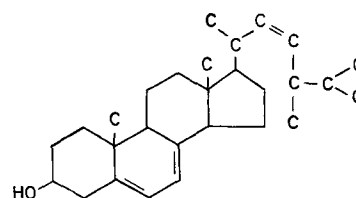


FIG. 4. Ergosterol.

Other acids, such as dihydroxystearic acid, were identified in soils by Schreiner and Shorey over 50 years ago (26).

Some indication as to the stability of these acids under geological conditions can be obtained from studies of sediments. Fatty acids in the C_{26} – C_{30} range were reported by Trask and Wu to occur in concentrations of 20–60 ppm in recent marine sediments (27), whereas oleic and other acids have been found in Black Sea sediments (28) and in lake waters. Fatty acids of low molecular weight have been found in recent muds, as well as in ancient carbonate sediments and boghead coals (29).

Terpenes

Terpenes of all varieties ranging from squalene (Fig. 12), which occurs in shark-liver oil, to "monkey-hair," fossil rubber found in some lignites, are of geochemical interest. Sesquiterpenes and triterpenes also are found and have been given such names as fichtelite, branchite, etc. Bergmann, in one of his last publications, summarized the known occurrences of terpenes (21) of geological interest.

Hydrocarbons

Apart from those hydrocarbons associated with crude oil, numerous examples ranging from $C_{25}H_{52}$ to $C_{31}H_{64}$ have been found in soil and peat. Heptadecane has been found in sardine oil, and n-heptane makes up 98% of Jeffrey pine oil. Nonane, undecane, and pentadecane have all been isolated from natural products (21).

Smith (30) in 1954, analyzing cores of recent sediments from the Gulf Coast, California, and the Orinoco Delta, uncovered the presence of small quantities of paraffinic, naphthenic, and aromatic hydrocarbons. Carbon-14 analyses showed these compounds to be young, indicating them most likely to be products of bacterial activity. Confirmatory evidence for the occurrence of hydrocarbons in such sediments has since been obtained by numerous other workers. Stevens (31) in 1956 noted an interesting but significant difference between the hydrocarbons of both recent and ancient sediments and those of crude oil. Thus, normal paraffins from soils and marine muds were found to have an "odd-carbon" preference, with C_{29} being the most abundant member of the series; crude oils exhibited no such preference. There has been much investigation to throw light on the significance of the "odd-carbon" preference, but to date many questions regarding the problem still remain unsolved.

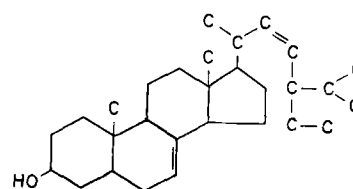


FIG. 5. Chondrillasterol.

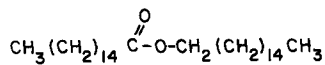


FIG. 6. Cetyl palmitate.

This abbreviated survey of occurrences of various lipids is not intended to be all-inclusive. Rather, it is intended that attention be called to the various types of compounds, and their relative importance in geochemical processes.

Occurrences of Lipids

Chondrites

The attempt to trace life processes back in time has led to very extensive studies of carbonaceous chondrites in the past five years. During examination of the Orgueil meteorite, which fell in France in 1864, saponifiable organic material, classifiable as lipid, was isolated and found to exhibit optical activity (32). In a more detailed examination of the same meteorite, Nagy and Bitz (33) were able to isolate and identify long-chain fatty acids ranging from C₁₄ to C₃₀. Although the authors concluded that the exact origin of these acids in the meteorite was not clearly established, it is possible that further work in this field and an attendant body of complementary evidence may eventually lead to the conclusion that life existed on the extraterrestrial body from which this meteorite was derived. Besides fatty acids, a number of hydrocarbons have also been isolated from the Orgueil meteorite and identified by mass spectroscopic and gas chromatographic techniques. Larger quantities of odd than even carbon-numbered n-paraffins were found in the C₂₃ to C₃₀ range (34). Perhaps the outstanding recent discovery in the study of carbonaceous chondrites has been the identification of pristane (Fig. 13) and phytane (Fig. 14) in extracts from the Orgueil and Murray meteorites. Although pristane may be derived from a secondary source, phytane is very likely derived from phytol which, in turn, is part of the chlorophyll molecule (34). Unquestioned proof of the existence of chlorophyll and of a photosynthetic process in extraterrestrial bodies represented by the carbonaceous chondrites would be one of the most sensational and dramatic developments of our generation.

Ancient Sediments

The isolation of organic compounds from ancient sediments has frequently been taken as evidence that a biota at one time existed in the environment. In recent years, particular efforts have been made to identify those substances that occur in Precambrian sediments and which might serve as clues to life processes during the earliest stages of biological history on earth. Moreover, preservation of any such compounds in form sufficient for identification provides an index of stability for the compound.

Recently Barghoorn and co-workers (35) demonstrated the unquestioned existence of primitive life forms in Precambrian sediments. These organisms, which are similar to algae, represent some of the earliest forms of life on earth; it is, therefore, interesting to examine the available evidence for biological processes that may have been operative during early life history.

In a study of the extract from the alum shale of

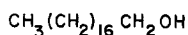


FIG. 7. Octadecyl alcohol.

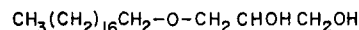


FIG. 8. Batyl alcohol.

Sweden, which has been dated at 500 million years, Abelson and co-workers (36) reported the isolation of 20 to 200 ppm of fatty acids based on the amount of organic matter present. This isolate consisted for the most part of palmitic and stearic acids.

Optically active hydrocarbons, besides phytane, have also been found in the Nonesuch Shale of northern Michigan. These constituents, as well as the presence of porphyrins, provide excellent evidence for abundant photochemical activity as far back as 1.1 billion years ago (35,37). In confirmation of this conclusion, it was also reported (35,37) that an oil thought to be indigenous to the shale contains various terpenes and hydrocarbons ranging up to C₃₅. These hydrocarbons demonstrate an odd-carbon preference characteristic of plant products, with unusually high abundances of C₂₇, C₂₉, and C₃₁.

Organic extract from a somewhat older sediment, the Barreiro Formation of Minas Gerais, Brazil, (about 1.8 billion years) has also been studied (38). The material soluble in chloroform and alcohol-benzene (1/1) amounted to 70 ppm based on the total sample, and could be separated into acid and neutral fractions, the latter containing free alcohols. Along with this work, a similar study of soluble organic matter from the Sharon Springs Member of the Pierre Shale was also conducted. The isolate from this Cretaceous shale was fractionated into acid and neutral constituents, and the latter were found to contain an ester or esters. These esters were saponified and the resulting acids were separated by gas-liquid chromatography and characterized by micro infrared analysis; the same techniques were used in study of the extracts from the Brazilian samples.

On the basis of preliminary work, it was found that the acids isolated from the Precambrian sample were very similar to those obtained by saponification of the ester(s) from the Cretaceous rock. Infrared spectra of the two isolates were identical. It is not known whether the acids and alcohols present in the Precambrian rock existed initially as esters which, with time, were hydrolyzed. Similarly, the ester(s) from the Cretaceous sample may not have had sufficient time to hydrolyze. On the basis of the work already carried out, it is clear that at least those marine biochemical processes that led to the formation of fatty acids and their derivatives did not change appreciably between the Precambrian period studied and Cretaceous time. It is also interesting to note the stability of fatty acids and alcohols for a period of over one billion years.

Among the oldest rocks studied to date are samples of sediments from the Soudan Iron Formation that have been dated at 2.5 billion years (39). The hydrocarbons isolated contain n-paraffins up to C₂₇; pristane and phytane have also been tentatively identified in the extract. Although the thermodynamic stability of linear hydrocarbons is well known, the existence of pristane (Fig. 13) and phytane (Fig. 14) for such a long period of time is particularly noteworthy, assuming these compounds to be indigenous to the rock. If the presence of phytane can be confirmed, its association with such ancient sediments will provide significant evidence for the development of photochemical

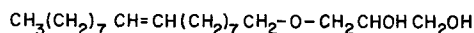
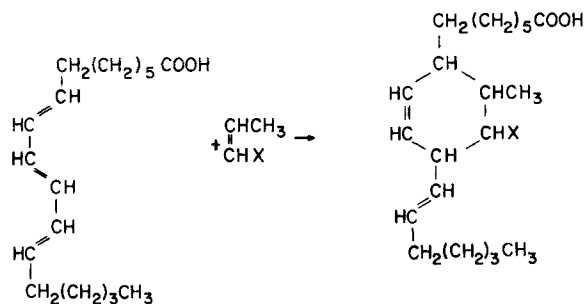


FIG. 9. Selachyl alcohol.



Eleostearic acid

X = activating group

FIG. 18. Example of Diels-Alder reaction.

pect of working with long-dead, partially decayed bodies is, to say the least, macabre and uninviting, it seems clear that when a situation for such study presents itself, careful observations and analyses may go far in clarifying the suggestion of De Fourcroy and others since his time that protein or other biochemical products may be transformed into lipids under natural conditions.

The high lipid content of algae and diatoms has long been recognized. Moreover, Clarke and Mazur (52) found the ether-extractable lipids from diatoms to contain 60–80% of free fatty acids. Under favorable conditions, such lipids are able to interact to produce unusual products, some of which (such as coorongite) may be only curiosities, whereas others (such as boghead coals) may be economically significant. These two substances are mentioned only as examples, and it should be understood that numerous similar products have been described.

Coorongite (53,54) is an algal product found on the shores of salt water lagoons near Coorong, Australia. It is derived from *Elaeophyton coorongiana*, and occurs, when dry, as a brownish, rubbery mass. Analysis has shown the material to contain high percentages of fatty acids and it is thought that it is formed by the polymerization of long-chain unsaturated fatty acids. Stadnikov and Kashtanov (55) suggested that coorongite and similar substances may represent intermediate products in the formation of boghead coals from algae. An excellent summary of such work was published by Stadnikov in 1930 (56). The polymerization process is thought to be preceded by saponification of the fats.

Occurrences of lipids of geochemical significance are so extensive that it is hardly possible to do more than touch upon compounds of particular importance. In reviewing the fate of many of these compounds, it becomes clear that fatty acids, certain terpenes (phytane and pristane in particular), and a few alcohols are able to survive diagenetic vicissitudes that occur during geological time. Esters are "sometime" compounds in the sense that they occasionally survive diagenetic effects, while at other times there is some evidence that they may hydrolyze to glycerine, which is water-soluble and thus lost, and to acids that remain behind. Some alcohols are found in ancient sediments, perhaps because they are insoluble, and occurrences of sterols are only now being properly investigated.

The significance of lipids cannot be overestimated as indicators of geochemical processes or of biochemical processes during geological time. Study of these compounds, based on analytical techniques still being refined, has already permitted preliminary con-

clusions that certain life processes have not changed appreciably since the early days of the earth's geological history.

Of major interest, however, are those processes, such as the formation of crude oil and boghead coals, where lipids appear to be the progenitors of the products. There is a startling lack of information as to the interplay of biochemical and chemical processes that appear to be involved, and this paper should be interpreted as an appeal to the chemical community interested in lipids to give some thought to the attack on and solution of these problems.

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